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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|---|-----------------|----------------------|-------------------------|-----------------|
| 09/724,857 | 11/28/2000 | Koen Weterings | 02307O-114700US | 7630 |
| 20350 | 7590 02/05/2002 | | | |
| TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER EIGHTH FLOOR | | | EXAMINER | |
| | | | COLLINS, CYNTHIA E | |
| SAN FRANCISCO, CA 94111-3834 | | | ART UNIT | PAPER NUMBER |
| | | | 1638 | |
| | | | DATE MAILED: 02/05/2002 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| , | | Application No. | Applicant(s) | | | | |
|--|--|---|---|--|--|--|--|
| Office Action Summary | | 09/724,857 | WETERINGS ET AL. | | | | |
| | | Examiner | Art Unit | | | | |
| | The MAILING DATE of this community | Cynthia Collins | 1638 | | | | |
| Period fo | | | | | | | |
| - Exte after - If the - If NC - Failu - Any r | ORTENED STATUTORY PERIOD FOR REP MAILING DATE OF THIS COMMUNICATION isions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication, period for reply specified above is less than thirty (30) days, a r period for reply is specified above, the maximum statutory perior to treply within the set or extended period for reply will, by state apply received by the Office later than three months after the main d patent term adjustment. See 37 CFR 1.704(b). | 1.136(a). In no event, however, may a repept within the statutory minimum of thirty (and supply and will expire SIX (6) MONT. | oly be timely filed (30) days will be considered timely. | | | | |
| 1)[| Responsive to communication(s) filed on 28 | 3 November 2000 | | | | | |
| 2a) | T4: | This action is non-final. | | | | | |
| 3)[| Since this application is in condition for allow | Managa average for the state of | | | | | |
| D | , | er Ex parte Quayle, 1935 C.D. | 15, prosecution as to the merits is 11, 453 O.G. 213. | | | | |
| | on of Claims | | | | | | |
| 4)[🔀] | Claim(s) <u>1-80</u> is/are pending in the application | on. | | | | | |
| 5\ <u>-</u> | a) Of the above claim(s) is/are withdra | awn from consideration. | | | | | |
| | Claim(s) is/are allowed. | | | | | | |
| | Claim(s) is/are rejected. | | | | | | |
| | Claim(s) is/are objected to | | | | | | |
| ک دے Applicatio | Claim(s) <u>1-80</u> are subject to restriction and/or | election requirement | | | | | |
| | • | • | | | | | |
| 0)□ Ti | ne specification is objected to by the Examine | er. | | | | | |
| , | e drawing(s) filed on is/are: a) acce | pted or b) objected to by the I | Examiner. | | | | |
| 11) 🗌 Th | Applicant may not request that any objection to the proposed drawing correction filed on | e drawing(s) be held in abeyance | e. See 37 CFR 1.85(a). | | | | |
| | f approved, corrected drawings are required in re | _ is. a) | oproved by the Examiner. | | | | |
| 12)[] Th | e oath or declaration is objected to by the Ex | aminer | | | | | |
| riority un | der 35 U.S.C. §§ 119 and 120 | GITTING! | | | | | |
| | cknowledgment is made of a claim for foreign | Driority under 25 H.C.C. 5 44 | 0/-> / 1) | | | | |
| a) <u></u> | All b) Some * c) None of: | · Priority dilder 55 0.5.C. 9 11 | 9(a)-(a) or (f). | | | | |
| | Certified copies of the priority documents | s have been received | | | | | |
| 2. | Certified copies of the priority documents | S have been received in Applic | eation No. | | | | |
| 3. | 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). | | | | | | |
| See | the attached detailed Office action for a list of | of the certified copies not rece | ived: | | | | |
| 14) LI ACK | nowledgment is made of a claim for domestic | priority under 35 U.S.C. 8 11 | 9(e) (to a provisional application) | | | | |
| ے, ∞ | i i ile translation of the foleign language pro- | visional application has been | | | | | |
| tachment(s) | nowledgment is made of a claim for domestic | priority under 35 U.S.C. §§ 1 | 20 and/or 121. | | | | |
| | References Cited (PTO-892) Draftsperson's Patent Drawing Review (PTO-948) n Disclosure Statement(s) (PTO-1449) Paper No(s) | 4) Interview Summ 5) Notice of Informa 6) Other: | ary (PTO-413) Paper No(s) al Patent Application (PTO-152) | | | | |

Art Unit: 1638

DETAILED ACTION

Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-7, 9-11, 13-15, 17-18, 20-23 and 78, drawn to an isolated polynucleotide of SEQ ID NO:1 which specifically modulates transcription in a plant suspensor cell and/or basal region of a plant embryo, an expression cassette, a vector, a host cell, and a plant, classified in class 536, subclass 24.1, for example.
- II. Claims 6, 8-14, 16-17, 19-22 and 24-25, drawn to an isolated polynucleotide of SEQ ID NO:6 which specifically modulates transcription in a plant suspensor cell and/or basal region of a plant embryo, a vector, a host cell, and a plant, classified in class 435, subclass 320.1, for example.
- III. Claims 32-36, 42-46, 52-55 and 60-65, drawn to an isolated nucleic acid of SEQ
 ID NO:3 encoding a G564 polypeptide, an expression cassette, a host cell, and a transgenic plant, classified in class 536, subclass 23.6, for example.
- IV. Claims 37-41, 47-51, 56-59 and 66-71, drawn to an isolated nucleic acid of SEQ
 ID NO:7 encoding a C541 polypeptide, an expression cassette, a host cell, and a transgenic plant, classified in class 800, subclass 298, for example.
- V. Claims 26-31, drawn to a method of modulating transcription in a plant suspensor cell and/or basal region of a plant embryo, classified in class 800, subclass 286, for example.
- VI. Claims 72-73, drawn to an isolated polypeptide of SEQ ID NO:3, classified in class 530, subclass 350, for example.

Art Unit: 1638

- VII. Claims 74-75, drawn to an isolated polypeptide of SEQ ID NO:7, classified in class 530, subclass 350, for example.
- VIII. Claim 76, drawn to an antibody capable of binding an isolated polypeptide of SEQ ID NO:3, classified in class 530, subclass 387.1, for example.
- IX. Claim 77, drawn to an antibody capable of binding an isolated polypeptide of SEQ ID NO:7, classified in class 530, subclass 387.1, for example.
- X. Claims 79-80, drawn to a method of detecting a polynucleotide in a sample, classified in class 436, subclass 94, for example.

The inventions are distinct, each from the other because of the following reasons:

Inventions I-IV and VI-IX are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions represent structurally different polynucleotides, polypeptides and antibodies. Therefore, where structural identity is required, such as for hybridization, expression, or immunological detection, the different polynucleotides, polypeptides and antibodies have different effects. The nucleic acids of Inventions I-IV, the polypeptides of Inventions VI and VII and the antibodies of Inventions VIII and IX are also functionally distinct from one another and can be used in different methods, such as hybridization methods in the case of the nucleic acids, immunization methods in the case of the polypeptides, and immunoassay methods in the case of the antibodies. The nucleic acids of Inventions I-IV are a functionally distinct from one another because the nucleic acids of Inventions I-II are promoter sequences from different genes, and the nucleic acids of Inventions III-IV are coding sequences from different genes. The polypeptides of Inventions VI and VII are functionally distinct from one another because the polypeptides of

Art Unit: 1638

Inventions VI and VII have different amino acid sequences and are encoded by different genes.

The antibodies of Inventions VIII and IX are functionally distinct from one another because they specifically recognize different proteins.

Inventions I-II and V are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the products, an isolated polynucleotide of SEQ ID NO:1 and an isolated polynucleotide of SEQ ID NO:6, can be used in materially different processes of using those products, such as methods of isolating a polynucleotide from a genomic library by hybridization.

Inventions I and X are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product (MPEP § 806.05(h)). In the instant case the product, an isolated polynucleotide of SEQ ID NO:1, can be used in a materially different process of using that product, such as a method of modulating transcription.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, their recognized divergent subject matter, and the requirement for different areas of search, restriction for examination purposes as indicated is proper.

Art Unit: 1638

Page 5

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Remarks

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cynthia Collins whose telephone number is (703) 605-1210. The examiner can normally be reached on Monday-Friday 8:45 AM -5:15 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amy Nelson can be reached on (703) 306-3218. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-4242 for regular communications and (703) 308-4242 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

CC

January 31, 2002

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PRIMARY EXAMINED